

FACTS	WHAT DOES CURI RMB CAPITAL, LLC (“Curi RMB”) DO WITH YOUR PERSONAL INFORMATION?	
WHY?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
WHAT?	The types of personal information we collect and share depend on the product or service you have with us. This information can include: <ul style="list-style-type: none"> I. Copies of government issued IDs (driver’s license, passport, etc.) II. Social security number III. Income and assets IV. Investment experience V. Risk tolerance VI. Transaction history VII. Account balances 	
HOW?	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information, the reasons Curi RMB chooses to share, and whether you can limit this sharing.	
Reasons we can share your personal information	Does Curi RMB share?	Can you limit this sharing?
For our everyday business purposes - such as to process your transactions, maintain your accounts(s) or respond to court orders and legal investigations.	Yes	No
For our marketing purposes - to offer our products and services to you	Yes	Yes
For joint marketing with other financial companies	No	We don’t share
For our affiliates’ everyday business purposes - information about your transactions and experiences	No	We don’t share
For our affiliates’ everyday business purposes – information about your creditworthiness	No	We don’t share
For our affiliates to market to you	No	We don’t share
For non-affiliates to market to you	No	We don’t share

<p>To limit our sharing</p>	<p>Call toll free: 1-800-601-5228 Please note: If you are a <i>new</i> customer, we can begin sharing your information 30 days from the date we sent this notice. When you are <i>no longer</i> our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.</p>
<p>Questions?</p>	<p>Call toll free 1-800-601-5228 or visit www.rmbcapital.com and www.rmbfunds.com.</p>

<p>Privacy Policy, continued</p>	
<p>Who we are</p>	
<p>Who is providing this notice?</p>	<p>Curi RMB Capital, LLC</p>
<p>What we do</p>	
<p>How does Curi RMB protect my personal information?</p>	<p>To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.</p>
<p>How does Curi RMB collect my personal information?</p>	<p>We collect your personal information, for example, when you:</p> <ol style="list-style-type: none"> I. Enter into an investment advisory contract II. Open an account III. Tell us about your investment or retirement portfolio IV. Provide your employment information V. Show your driver's license information
<p>Why can't I limit all sharing?</p>	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> ▪ sharing for affiliates' everyday business purposes—information about your creditworthiness ▪ affiliates from using your information to market to you ▪ sharing for non-affiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing.</p>

<p>What happens when I limit sharing for an account I hold jointly with someone else?</p>	<p>Your choices will apply to everyone on your account - unless you tell us otherwise.</p>
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<p>Privacy Policy, continued</p>	
<p>Definitions</p>	
<p>Affiliates</p>	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> • <i>Curi RMB doesn't share information with affiliates</i>
<p>Non-affiliates</p>	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> • <i>Curi RMB doesn't share information with non-affiliates</i>
<p>Joint Marketing</p>	<p>A formal agreement between non-affiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> • <i>Curi RMB doesn't conduct joint marketing with such companies.</i>